

1 THE REPUBLIC OF UGANDA  
2 IN THE HIGH COURT OF UGANDA HOLDEN AT MUKONO  
3 CRIMINAL APPEAL NO. 004 OF 2017  
4 (ARISING from Criminal Case No.0263 of 2017)  
5

6 INENSIKO ADAMS:.....APPELLANT  
7

8 VERSUS

9 UGANDA:.....RESPONDENT

10 BEFORE HON.LADY JUSTICEMARGARET MUTONYI, JUDGE HIGH  
11 COURT

12 JUDGMENT

- 13 1. Inensiko Adams here in after referred to as the Appellant filed an appeal  
14 against the judgment and sentence of Her Worship Pamela M. Bomukama,  
15 Magistrate Grade One at Mukono Chief Magistrates Court, delivered on  
16 23<sup>rd</sup>February 2017.
- 17 2. The appellant through M/s M. Mugoya & Co. Advocates filed this appeal on  
18 1<sup>st</sup> March 2017 against the Respondent. The Respondent was represented by  
19 MS Nabisenke Vicky Principal State Attorney for the Directorate of Public  
20 Prosecutions Mukono regional office.  
21
- 22 3. **The grounds of Appeal were as follows:**
- 23 **(a) That the Trial Magistrate erred both in law and in fact when she**  
24 **wrongly considered the accused in her aggravating factors to be a**  
25 **habitual offender yet he had never been convicted of any offences in**  
26 **the courts of law.**
- 27
- 28 **(b) That the Trial Magistrate erred both in law and in fact in passing a**  
29 **sentence which was harsh in the circumstances where even the state**  
30 **had prayed that the accused serves a custodial sentence of 2 years.**

31 (c) That the Trial Magistrate erred both in law and in fact when she let  
32 her opinion, emotions, feeling and wishes take precedence over the  
33 law.

34  
35 (d) That The Trial Magistrate erred both in law and in fact when she did  
36 not follow the proper plea bargain procedure when on 21<sup>st</sup> February  
37 2017 court was informed by the accused that he(the accused) was on  
38 plea bargain and that the plea bargain agreement was being  
39 forwarded to court and in the circumstances, the appellant was  
40 misled to believe that he was in plea bargain taking fresh plea to the  
41 charges.

42  
43 (e) That the Trial Magistrate erred both in law and in fact when she  
44 sentenced the accused without considering the period spent on  
45 remand before conviction and without agreeing on the punishment  
46 as a procedure in plea bargain.

47  
48 4. The Appellant prayed to Court to:  
49 a) Allow the appeal.  
50 b) Set aside the sentence.  
51 c) Quash the conviction.  
52 d) Set the appellant free.

53  
54 5. The brief facts of the case is that the Appellant was charged with three  
55 counts of forgery contrary to section 349 , three counts of uttering false  
56 documents C/S 351 and three counts of theft C/S 254 all of the Penal Code  
57 Act, Laws of Uganda.

58  
59 He pleaded not guilty at first and the hearing proceeded up to his defence. He  
60 however expressed his desire to enter into plea bargaining and indeed went ahead  
61 to change his plea before completing his defence.

62  
63 The State Attorney however informed court that plea bargaining failed and if he  
64 was to pay any money to the complainant, he should pay while in prison.

65 At the time of informing court that he was in for plea bargaining, he proposed to be  
66 sentenced to the period he had spent on remand which was 8 months and  
67 compensate the complainant.

68

69 The Trial Magistrate went ahead to read for him the charges to which he pleaded  
70 guilty following the normal procedure when an accused changes plea in the course  
71 of the hearing.

72

73 After taking the allocutus and the mitigating factors, where the prosecution prayed  
74 for 2 years imprisonment and an order for compensation of the stolen money which  
75 was about Ug. Shs.7, 000,000/= (Uganda Shillings Seven Million) and the Accused  
76 prayed for 8 months imprisonment being the period spent on remand, the Trial  
77 Magistrate sentenced him to four years imprisonment on each count to run  
78 concurrently and made no order as to compensation.

79

80 (f) Both parties proceeded by way of written submissions which I have put into  
81 consideration while writing the judgment.

82

### 83 **(g) RESOLUTION OF GROUNDS/ISSUES**

84

85 It is trite law that the duty of the first Appellate court is to look at the proceedings  
86 and evidence on record afresh and apply the facts to the law and evaluate whether  
87 the trial court did proper evaluation of the evidence and application of the law to  
88 the facts before arriving at the decision.

89

90 It is akin to carrying out a post mortem which has to be done very carefully  
91 combing the body looking for the most likely cause of death.

92

93 The Appellate court, combs the record, from the time of plea taking, taking and  
94 recording evidence, evaluation of evidence, application of the law to the evidence  
95 or facts, judgment , verdict, sentencing process and the final sentence given.

96

97 In cases of plea of guilty like in the instant case, its trite law that no appeal is  
98 allowed except as regards to the legality of the plea or legality of the sentence.  
99 Section 204(3) of the Magistrates Courts Act Chapter 16 refers.

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Both the Appellant and Respondent agree in their submissions that the Appellant pleaded guilty and therefore the issue of conviction is not contentious.

The Magistrate after a conviction goes ahead to exercise the judicial discretion of sentencing which lies with the trial court. The Case of ***Kyalimpa Edward versus Uganda SCCA NO 10 OF 1995 refers.***

The Trial Magistrate exercised her discretion after putting into consideration both the mitigating and aggravating factors and sentenced the Appellant to 4 years imprisonment.

Let me now revert to the grounds of Appeal and will resolve them in their chronological order.

***1. Whether the trial Magistrate erred both in law and in fact by considering the Appellant as a habitual offender.***

***In order to be labelled as a habitual offender, one has to be convicted of violating specific laws a certain number of times within a certain period of time.***

If the court establishes that a convict is a habitual offender, it will certainly have an impact on sentencing. It may attract an enhanced and or severe punishment to deter the offender.

It is treated as an aggravating factor especially if it is a specific law that is violated. The prosecution has the obligation to provide court with all facts and circumstances of the offending behavior and the offender's criminal history and the impact of the offence on the victim or society. The prosecution should suggest what it considers to be an appropriate sentence in the circumstances.

Evidence of previous record is a matter of fact that must be provided to convince court because every conviction must be pronounced by a court vested with jurisdiction and as such must be in court records.

134 Where a conviction has been quashed by a higher court on appeal, it ceases to be a  
135 previous record.

136 The prosecution cannot therefore merely make mention of it to court. It must  
137 provide the facts of criminal history that confirms that the convict is a habitual  
138 offender.

139

140 In the instant case the Learned State Attorney submitted on page 27 of the  
141 proceedings that “***The accused is not a first offender he has been in and out of***  
142 ***police in Mukono and Kampala*”. Basing on this submission, the Magistrate on  
143 page29 of the proceedings while considering the sentence mentioned habitual  
144 offending as one of the aggravating factors that influenced the sentence.**

145

146 This finding was erroneous both in law and in fact because there was no evidence  
147 of previous conviction of violating a specific section of the law a number of times  
148 over a given period of time.

149

150 ***Complaints at the police however numerous remain mere allegations that do not***  
151 ***take away the suspects constitutional right of presumption of innocence***  
152 ***enshrined under Article 28(3) (a) of the 1995 constitution of the Republic of***  
153 ***Uganda where very person charged with a criminal offence shall be presumed to***  
154 ***be innocent until proved guilty or until that person has pleaded guilty.***

155

156 In the result the first ground is resolved in favour of the Appellant.

157

158 ***1. Whether the trial Magistrate erred in law and in fact in passing a sentence***  
159 ***which was harsh in the circumstances where the State had prayed for a***  
160 ***sentence of 2 years imprisonment.***

161

162 A Trial Judge or Magistrate’s job after convicting an accused person is to consider  
163 all submissions and determine an appropriate sentence in accordance with the law  
164 and relevant sentencing principles. I must admit that determining an appropriate  
165 sentence is a complex process in which one must balance based on a range of  
166 factors in accordance with the law. Reasons for the sentence imposed must be  
167 given.

168

169 ***The Constitution (sentencing guidelines for courts of Judicature) (Practice)***  
170 ***Directions 2013***, guide the judicial officer on the sentencing range and principles  
171 that apply during the exercise of this complex judicial discretion.

172

173 The prosecution as mentioned earlier has the duty to propose a sentence they  
174 consider appropriate depending on the aggravating factors. The defence personally  
175 or through an attorney may bring to court a number of circumstances that may  
176 move the Judge or Magistrate to impose a lighter sentence which is called  
177 mitigating factors. The trial Judge or Magistrate then exercises his or her discretion  
178 to impose the sentence they consider appropriate in the circumstances which vary  
179 from case to case. A plea of guilty and willingness to atone or make arrangements  
180 for financial reparation to the victim is certainly a mitigating factor since justice is  
181 for both the accused and victim.

182

183 The accused is entitled to a just and fair trial while the victim especially in a case  
184 where there was financial loss like in the instant case would be more interested in  
185 repayment of the stolen money.

186

187 The state in this case prayed for a sentence of 2 years imprisonment and an order  
188 of compensation. The convict/ Appellant prayed for imprisonment for 8 months  
189 which would mean his immediate release and an order for compensation. He  
190 requested to be allowed to deposit 2,000,000/ shillings in about a months' time.

191

192 He now contends that the Magistrate even went beyond the 2 years the state had  
193 proposed.

194

195 In view of the role of the judicial officer explained above during the sentencing  
196 process, he or she may depart from the proposed sentence made by both the  
197 convict and the prosecution and give reasons. It is not erroneous in law for the trial  
198 Judge or Magistrate to give a sentence more than what the prosecution prayed for.  
199 Whatever sentence is prayed for by the prosecution and the defence is a mere  
200 proposal.

201

202 The Trial court retains the discretion to pass the sentence that is appropriate.

203 ***Was the sentence of 4 years harsh in the circumstances?***

204

205 The Magistrate considered the prescribed penalty for forgery, uttering false  
206 documents, and theft .which all attract a maximum sentence of 10 years. She  
207 considered asking for forgiveness and remorsefulness, willingness to compensate  
208 the victims, and having a family as the mitigation factors.

209

210 But when she came to aggravating factors, she ruled that the accused took court  
211 through full trial yet he changed his plea before completing the defence. Full trial  
212 entails hearing evidence for both prosecution and defence, recording submissions if  
213 any, writing the judgment and finally sentencing. This was not the case here  
214 because he changed his plea before completing the defence case. She erroneously  
215 considered him to be a habitual offender with no proof, and finally held that taking  
216 into consideration all these factors and the period spent on remand, I would have  
217 sentenced the accused to 5 years but since he has spent 8 months on remand,  
218 Accused is sentenced to 4 years imprisonment for each count. The sentences are to  
219 run concurrently. No orders are made regarding compensation.

220

221 She did not say the 8 months spent on pretrial remand were inclusive. They were  
222 merely considered.

223

224 She did not make any order as to compensation.

225

226 The appellant had 9 counts and was a first offender. He pleaded guilty before the  
227 case was concluded which should have been considered as a mitigating factor.

228 The complainant was interested in recovering the money and the accused asked for  
229 forgiveness and was willing to pay back the money.

230

231 A long custodial sentence was not beneficial to the victim.

232

233 In view of the above I do not fault her in passing the sentence harsher than what  
234 the state asked for since it was within her discretion, but it did not serve the interest  
235 of justice. A shorter sentence with an order for compensation of the complainant  
236 would have served the cause of justice. It was not judicious and as such I find it  
237 harsh in the circumstances.

238

239 The second issue is resolved in favour of the Appellant.

240

241 **1. Whether the Trial Magistrate erred both in law and in fact when she let her**  
242 **opinion, emotion, feelings and wishes take precedence over the law**

243

244 An opinion is a judgment formed about something not necessarily based on facts or  
245 knowledge. It is different from a judicial opinion which is a legal opinion written  
246 by a judicial officer in the course of resolving a legal dispute or making a legal  
247 decision indicating the facts which led to the dispute and analysis of the law  
248 applied to arrive at the decision.

249

250 Emotions are instinctive feelings that influence decisions without reasoning or  
251 applying knowledge.

252

253 It is a conscious experience characterized by intense mental activity and a certain  
254 degree of pleasure or displeasure while wishes are mere desires. The appellant  
255 wants court to engage in mental gymnastics.

256

257 **Ordinary opinion, (not legal), emotion, feeling and wishes are the complexities**  
258 **of the inner man. They are not written down in the court record.**

259

260 **As an appellate court, it is impossible to discern what was in the mind and soul**  
261 **of the judicial officer at the time she was passing the sentence since she did not**  
262 **express her emotions, feelings and wishes in writing.**

263

264 In view of the above I find this ground redundant

265

266 **4. Whether the Trial Magistrate erred in law and in fact by not allowing the**  
267 **Applicant to proceed under plea bargain.**

268

269 This issue arises from ground 4 of the Memorandum of Appeal.

270

271 It was stated that **The Trial Magistrate erred both in law and in fact when she**  
272 **did not follow the proper plea bargain procedure when on 21<sup>st</sup> February 2017**  
273 **court was informed by the accused that he (the accused) was on plea bargain**



274 **and that the plea bargain agreement was being forwarded to court and in the**  
275 **circumstances, the appellant was misled to believe that he was in plea bargain**  
276 **taking fresh plea to the charges.**

277

278 The system of plea bargaining is regulated by the **Judicature (plea bargain) rules**  
279 **2016 which were established under *The Judicature Act* section 41 (1)and(2)**  
280 **(e).**

281 There is no doubt that plea bargaining is a new innovation in the Uganda Criminal  
282 justice system with almost no precedent. It is a response to challenges in the  
283 criminal justice system in Uganda and being new, most of the judicial officers and  
284 other stake holders in the criminal justice system are learning on the job. The  
285 procedure is yet to be internalized by both the prosecution and courts at both the  
286 trial and appellate level.

287

288 In order to appreciate the importance of plea bargaining as a response to challenges  
289 in the administration of justice, it's important to highlight the objectives of the  
290 process as enumerated under rule 3 (b) (c) (d) (e)(f) of the plea bargain rules  
291 (supra). They are as follows:

292

293 ***“To enable the accused and the prosecution in consultation with the victim to***  
294 ***reach an amicable agreement on an appropriate punishment, to facilitate***  
295 ***reduction in case backlog and prison congestion, provide quick relief from the***  
296 ***anxiety of criminal prosecution ,to encourage accused persons to own up to their***  
297 ***criminal responsibility and to involve the victim in the adjudication process.***

298

299 ***What is plea bargaining.***

300

301 ***“Plea bargaining is a process between an accused person and the prosecution in***  
302 ***which the accused person agrees to plead guilty in exchange for an agreement by***  
303 ***the prosecutor to drop one or more charges, reduce a charge to a less serious***  
304 ***offense, or recommend a particular sentence subject to approval by court”.***  
305 ***(Refer to rules)***

306

307 Once the plea bargain process is complete, it is reduced into a plea bargain  
308 agreement which is ***an agreement entered into between the prosecution and the***  
309 ***accused person regarding the charge or sentence against an accused person.***

310 This process is intended to serve the interest of the accused, victim and the state

311

312 In the instant case, the accused was charged with 9 counts, as earlier on mentioned.  
313 Three counts of forgery, three counts of uttering false document and three counts  
314 of theft. All these led to the loss of 7,000,000 (seven million shillings) of the  
315 victim. The facts revealed that the accused and convict worked together and he  
316 forged the documents to steal the money in the course of his employment.

317

318 Perusal of the lower court record at page 25 revealed that on the 21<sup>st</sup>, February,  
319 2017 the Appellant was in court. The State Attorney informed court ***that the case***  
320 ***is for further defence hearing.*** The accused person stated ***“I am for plea***  
321 ***bargaining.*** The sate informed court ***“The case came for trial, the accused was***  
322 ***put on the list of plea bargaining but it failed.***

323

324 Accused responded in these words: ***I am willing to compensate the complainants.***  
325 ***I admit I committed the offence. I will pay two million shillings on 20<sup>th</sup>***  
326 ***March2017.***

327

328 The state then said ***“let him pay the two million shillings from prison”.***  
329 ***The trial magistrate then read the charges afresh to the accused and he pleaded***  
330 ***guilty to all of them.***

331

332 Counsel for the Appellant submitted that on 21<sup>st</sup> the Appellant is on record having  
333 stated that he was for plea bargaining as well as showing readiness and willingness  
334 to compensate the complainants. And indeed to date that is his position. That  
335 surprisingly the respondent without any proof oral or documentary informed court  
336 that plea bargain had failed.

337 Previously the Appellant had informed court that his advocate would come and  
338 witness or sign the plea bargain agreement and requested for an adjournment. He  
339 submitted all these was ignored irrationally by court.

340

341 On the other hand ,the respondent’s state attorney submitted inter alia that it is on  
342 record that on 21<sup>st</sup> February 2017 when the Appellant appeared in court ,he  
343 indicated that he was for plea bargaining. The state then informed court that plea  
344 bargaining had failed where upon the Appellant responded that “I am willing to  
345 compensate the complainants. I admit I committed the offence. She went on to  
346 submit, that the learned trial magistrate was therefore right to read the charges  
347 afresh to the accused/appellant after admission of guilty in open court. The  
348 appellant willingly pleaded guilty to all preferred charges and was accordingly  
349 convicted. That this was not a plea bargain process and there was no plea bargain  
350 agreement. She concluded by submitting that the provisions of The Judicature  
351 (plea bargain) Rules 2016 were not applicable since the prosecution had indicated  
352 that the plea bargaining process had failed. According to her the process the trial  
353 magistrate took was proper and lawful.

354

355

356 It is apparent from the above flow of proceedings and submission of both Counsel  
357 that the Appellant informed court that he was for plea bargaining after he had  
358 earlier own entered a plea of not guilty and was now on defence. He changed his  
359 mind and wanted to benefit from the new innovation of plea bargaining.

360

361 He went ahead to admit the offences and bargained to deposit Ug. Shs.2,000,000  
362 [Uganda Shillings Two Million] on 20<sup>th</sup> March 2017. He was asking for a month in  
363 other words to make the first deposit.

364

365 ***Four pertinent questions arise from this ground:1. How can plea bargaining be***  
366 ***initiated, 2.Who can initiate the process, and 3. When can it be initiated? 4. Can***  
367 ***the appellate court allow plea bargain after the accused is denied the***  
368 ***opportunity?***

369

370 The answers to the first three questions is found under Rule 5 of the plea bargain  
371 rules (supra).” ***A Plea bargain may be initiated orally or in writing by the accused***  
372 ***or the prosecution at any stage before sentence is passed”.***

373

374 The above rule implies that:

375

376 1. An accused person may by himself or through his attorney if he is represented  
377 **make an oral communication in open court during the hearing of the case** that  
378 he or she is interested in plea bargaining. This oral communication is addressed to  
379 the trial Judge or Magistrate.

380

381 If the accused is still under police custody or on pretrial remand or has been  
382 released on bail, he or she may initiate the **process in writing in person, or**  
383 **through an Attorney or Prisons officer addressed to the prosecutor, or the**  
384 **administrator of the court.**

385

386 2. **The prosecutor** may initiate the process in the like manner communicating the  
387 offer to the accused person or to his or her Attorney.

388

389 3. The process can be initiated at any time before sentence is pronounced. This  
390 means that the option to plea bargain remains open until the judge or magistrate.  
391 Pronounces the sentence.

392

393 **My understanding of Rule 5 is that even after judgment is delivered and**  
394 **submissions are made in allocutus for the accused and aggravating factors for**  
395 **the prosecution, a case can still be handled under plea bargain before sentence is**  
396 **pronounced if the parties are willing to enter into bargain because the core of**  
397 **plea bargain process is the sentence.**

398

399 **This is because dropping of counts if they are many, reducing the charge from a**  
400 **serious to a minor or less cognate offense, offering to be a state witness and**  
401 **involving the victim of crime is all aimed at having a lesser sentence at the end of**  
402 **it all.**

403

404 However, the temptation of court rejecting the plea bargain process at this late  
405 stage of proceedings may be high, but a judicial officer should not be seen to flout  
406 the rules of procedure. The court is obliged to give the party initiating plea bargain  
407 a chance to discuss the case with the contending party.

408

409 In any case, the court would still be involved under rule 8 (2) where the trial Judge  
410 or Magistrate is consulted on the possible sentence before the agreement is signed.

411 Rule 8(2) provides that ,***“The parties shall inform court of the plea bargain***  
412 ***negotiations and shall consult the court on its recommendations with regard to***  
413 ***possible sentence before the agreement is brought to court for approval and***  
414 ***recording.”***

415

416 The rules give the judicial officer the opportunity to superintend over the  
417 proceedings to ensure there is no miscarriage of justice or abuse of the process  
418 making it a mockery of justice. The judge or judicial officer may recommend a  
419 particular sentence which in his or her opinion serves the justice of the case.

420

421 ***The above notwithstanding, the judicial officer does not have the discretion to***  
422 ***impose his or her own sentence.***

423

424 This is because under the rules an accused person is at liberty to reject the proposal  
425 by the trial judge or magistrate if it is not in his favour and opt out of plea bargain.  
426 In other words plea bargain limits the discretionary sentencing powers of the  
427 judicial officer. However where the court is satisfied that the agreement may  
428 occasion a miscarriage of justice, it may reject it under rule 13 of the above  
429 mentioned rules and refer the matter for trial subject to rule 8(3).

430

431 The challenge may arise if the trial Judge or Magistrate does not approve the  
432 agreed position, at this late stage. Would he or she have to comply with rule (8)3  
433 where you may not preside over the trial in a failed bargain where one has heard  
434 the case up to the time of sentencing?

435 Rule 8(1) and (3) provides that (1)***“The court may participate in plea bargain***  
436 ***discussions”*** and (3) ***“subject to sub rule (1) .a judicial officer who has***  
437 ***participated in a failed plea bargain negotiation may not preside over a trial in***  
438 ***relation to the same case”.***

439

440 My humble view is that, such scenarios may be exceptions to the general rule  
441 if circumstances suggest that the introduction of plea bargain at the very end of the  
442 criminal trial is intended to fetter the discretionary sentencing powers of the trial  
443 court to pervert the cause of justice.

444

445 I am persuaded by the holding in the supreme court of India , Civil Appeal No  
446 5066 of 2005 *Rani Kusum versus Smt Kanchan Devi And others where it was*  
447 *held that “All the rules of procedure are handmaid of justice. The language*  
448 *employed by the draftsman of processual law may be liberal or stringent, but the*  
449 *fact remains that the object of prescribing procedure is to advance the cause of*  
450 *justice. ....unless compelled by express and specific language of the statute, the*  
451 *provisions of the CPC or any other procedural enactment ought not to be*  
452 *construed in a manner which would leave the court helpless to meet*  
453 *extraordinary situations in the ends of justice”*

454 Applying the principle in the above case that procedural enactment ought not to be  
455 construed in a manner which would leave the court helpless to meet extraordinary  
456 situations in the ends of justice, rule 8(1) and (3) may not apply where the trial has  
457 been held, judgment delivered and accused is convicted, submission are made by  
458 the prosecution and defence in allocutus and mitigation, and before sentence is  
459 pronounced, an accused person initiates plea bargain on sentence with ridiculous  
460 proposals that amounts to mockery of justice. Initiating plea bargain when the case  
461 is in its advanced stage of sentencing in my view presents extraordinary  
462 circumstances and the trial judge or magistrate should be at liberty to exercise their  
463 discretion and sentence the convict where plea bargain fails.

464  
465 This would discourage accused persons from engaging in mental gymnastics and  
466 wasting scarce resources in terms of time, and money only to turn to plea bargain  
467 process at the end of the trial.

468  
469 Like the name suggests, ideally plea bargain should be at the time of plea taking to  
470 enable the state, the accused and defence counsel agree on amending the charge  
471 sheet or indictment where necessary with a view of dropping some counts if they  
472 are multiple, reducing the charge to a minor cognate offence, using accused as state  
473 witness or taking responsibility of the criminal conduct early enough etc before  
474 taking plea.

475  
476 In the case under review, the Trial Magistrate blocked the option of plea bargain  
477 when the case was still for defence hearing. She did not consider his prayer at all  
478 yet the rules allow him to initiate plea bargain before sentencing.

479

480 She did not explain to the Appellant who was not represented by counsel what it  
481 means to plead guilty under plea bargain, even under the normal process.

482

483 It is very important that an accused who wishes to plead guilty whether under plea  
484 bargain or not should be explained properly about his or her constitutional rights to  
485 a fair trial and confirm that his plea is unequivocal with full knowledge of the  
486 consequences there of.

487 Mukono Chief Magistrates Court was selected to be a pilot court under plea  
488 bargain for magistracy. The Trial Magistrate was expected to know the procedure  
489 to adopt when an accused person requests for plea bargain.

490

491 She took the accused through what he thought was a plea bargaining process. The  
492 charges were read to him again, he pleaded guilty to all of them hoping for an  
493 order for a refund of the sum lost to the complainants and a less sentence of period  
494 spent on remand.

495

496 He was shocked with a sentence of 4 years imprisonment without an order for  
497 compensation.

498

499 In view of rule 5 supra, I do not agree with submission of the learned principal  
500 state Attorney that since there was neither a plea bargain process, nor an agreement  
501 to that effect, the Judicature (plea bargain) Rules were not applicable.

502 The rules cease to apply only after sentence but not at any stage of proceedings  
503 before sentence.

504

505 The court is obliged under the rules to embrace plea bargain any time before  
506 sentence when either party before it expresses interest in the process unless it is  
507 intended to pervert the cause of justice as explained above( after judgment).

508

509 The learned State Attorney submitted that the accused was listed for plea bargain  
510 but it failed.

511

512 The rules are silent on how many times one may enter into plea bargain after the  
513 first plea bargain fails.

514

515 Rules of equity and natural justice would dictate that as many times as are  
516 reasonable as long as the proceedings are still ongoing and not used as a delaying  
517 tactic by either party.

518

519 ***The duty of the Trial Judge or Magistrate at this stage is to communicate there***  
520 ***quest or proposal to the other party, suspend the hearing and allow the parties to***  
521 ***enter into bargain and assign an advocate to help the accused in the process if he***  
522 ***or she is un represented like it happens in the lower court and wait for the***  
523 ***outcome.***

524

525 My opinion is that the court should not have just listened to the state submission  
526 about failed plea bargain without giving the appellant second chance if at all it  
527 failed in the first instance. In any case, rule 8(1) supra allows the trial judicial  
528 officer to participate.

529

530 She had every legal mandate to participate by finding out why it failed in the first  
531 instance. Since she was not the sole judicial officer at the station, the case would  
532 easily be re allocated to another magistrate.

533

534 In view of all the above, I find that the trial Magistrate erred in law and in fact  
535 when she failed to follow the Judicature (plea bargain) rules 2016 which came into  
536 force on 1/4/2016. She ought to have assigned an advocate to the Appellant and  
537 encouraged the state Attorney to consult the victim with a view of settling the  
538 matter under plea bargain.

539

540 Ground 4 is resolved in favor of the Appellant.

541

542 ***What remedy is available to the Appellant? Can the appellate court allow plea***  
543 ***bargain in the circumstances.***

544

545 The Judicature (plea bargain) rules are silent about the appeal process ***where the***  
546 ***court denies an accused to proceed under plea bargain or imposes its own***  
547 ***sentence.***

548



549 **Rule 13 provides for rejection of plea bargain agreement by court while rule 14**  
550 **provides for withdrawal from the plea bargain agreement.**

551

552 Much as facts in this case do not show that there was plea bargain agreement  
553 within the context of the rules, I cannot talk about remedies without referring to the  
554 provisions of rules 13 and 14.

555

556 Rule 13 provides:

557 **13(1)The court may reject a plea bargain agreement where it is satisfied the**  
558 **agreement may occasion a miscarriage of justice.**

559 **(2) Where the court rejects a plea bargain agreement,**

560 **(a) It shall record the reasons for the rejection and inform the parties.**

561 **(b) the agreement shall become void and shall be inadmissible in**  
562 **subsequent trial proceedings or in any trial relating to the same**  
563 **facts and**

564 **(c) The matter shall be referred for trial subject to sub rule 8(3).(Trial**  
565 **shall be before another judge or magistrate)**

566 And Rule 14 provides: **either party may at any stage of the proceedings before**  
567 **the court passes sentence withdraw a plea bargain agreement.**

568 The above rules presupposes that the plea bargain agreement is prepared and  
569 possibly executed by the accused, his attorney and the state prosecutor but court  
570 declines to endorse it, or one of the parties change their mind and withdraw  
571 before the court endorses it.

572

573 The rules seem not to cover the scenario before court, where the court refuses to  
574 give a chance to the accused to benefit from plea bargain process and therefore no  
575 agreement is prepared but court goes ahead to convict and sentence under normal  
576 criminal procedure where the accused made it clear that I am pleading guilty under  
577 plea bargain.

578

579 Ideally where one chooses to give up ones constitutional right to trial in a criminal  
580 case and enters a plea of guilty, you will not only be giving up your right to a trial  
581 but the right to appeal any legal or factual issues to a higher court. Once you  
582 plead guilty the judge or magistrate will convict you based on your admissions and

583 you will ordinarily have no grounds to undo that conviction. You may however be  
584 able to appeal against the sentence.

585 But a plea of guilty presupposes that an accused person understood the  
586 consequence of his plea and made an admission based on the facts of the case.

587

588 This may however not be automatic under plea bargain unless your case presents  
589 exceptional circumstances like enhancement of sentence by the court contrary to  
590 the agreed position.

591

592 Facts are different in this case. The appellant was not represented, and nobody  
593 advised him about his legal rights, not even the court.

594

595 He did not plead guilty from an informed perspective. Entering a Plea of guilty in  
596 the circumstances was unlawful in view of the fact that he was not informed of the  
597 consequence thereof. Much as his counsel seems to maintain his plea of guilty  
598 according to the submissions, he is praying for quashing the conviction at the same  
599 time.

600

601 He has been in custody for about two years as a result of an erroneous Plea of  
602 guilty. I would have ordered for plea bargain to enable him enter into an agreement  
603 with the respondent but the Respondent is not interested in the process

604

605 Like any other contract, for it to be valid the parties must be willing to enter into  
606 negotiations and agree. The respondent in this case is not interested and court  
607 cannot impose it on them.

608

609 It would be different if the respondent was willing to bargain on the sentence and  
610 possibility of recovery of the stolen money. The prosecution seems to be content  
611 with the decision of the trial magistrate.

612

613 However after serious consideration of how the process was flawed at the time of  
614 changing plea under plea bargain, justice of the case demand that the prayers of the  
615 appellant be allowed.

616

617 In the result the Appeal is allowed with the following orders:

- 618 1. The conviction is quashed  
619 2. The sentence is set aside.  
620 3. The appellant should be released unless held over some other lawful  
621 charges.

622

623 Right of Appeal to the state explained.

624

625 **GIVEN** under my hand and the Seal of this Honorable Court this **24th** day of  
626 **AUGUST, 2018.**

627

628

629

630 \_\_\_\_\_  
**Margaret Mutonyi**

631 **JUDGE**

632 **MUKONO HIGH COURT**

633